

STOCK EXCHANGE LISTING SERVICES

# Supporting structures

A leading sponsor of the CISX

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INVESTMENT FUNDS

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CAREY GROUP

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# Stock Exchange Listing Services - Investment Funds

## Background

Carey Commercial Limited is a founder member and leading sponsor of the Channel Islands Stock Exchange. Carey Commercial is a Category I member, which allows Carey Commercial to list all types of securities on the CISX.

## Advantages To Listing

- ▶ Enhanced Marketability
- ▶ Provides High Visibility
- ▶ Trading of Securities
- ▶ Flow of Information
- ▶ Issuer Transparency

## Why list on the CISX?

- ▶ Competitive pricing
- ▶ Open and closed-ended funds can be listed, including limited partnerships, protected cell companies ("PCCs"), incorporated cell companies ("ICCs") and unit trusts
- ▶ Funds from Guernsey and other acceptable jurisdictions can list
- ▶ Primary and Secondary listings
- ▶ Premier location that is in the European Time Zone and OECD (Organisation for Economic Development and

Co-operation) compliant

- ▶ Responsive and approachable Market Authority
- ▶ Personalised approach
- ▶ Screen-based trading
- ▶ Fast Turn around times
- ▶ Affiliate member of the International Organisation of Securities Commissions

- ▶ Internationally recognised

*\* see note below*

*\* The CISX is classed as a Designated Investment Exchange by the United Kingdom Financial Services Authority and is a Recognised Exchange by Her Majesty's Revenue & Customs. The CISX is also a Recognised Offshore Securities Market by the United States Securities and Exchange Commission and recognised by the Australian Stock Exchange.*

- ▶ Fully licensed and regulated by the Guernsey Financial Services Commission

## Why choose Carey Commercial?

- ▶ Competitive Pricing
- ▶ Dedicated listings team
- ▶ Affiliated with Carey Olsen Advocates, the Channel Islands' premier law firm
- ▶ Flexible approach
- ▶ Specialists in listing investment funds

- ▶ Carey Commercial have acted as sponsor for a number of investment fund listings including ING Real Estate Income Trust Limited and BlueCrest Mercantile Fund Limited

## CISX Listing Fees

### Open-ended;

- ▶ £1,000 plus £300 per sub fund
- ▶ £125 for publication of Formal Notice

### Closed-ended;

- ▶ Typically £3,300 and either £300 per class for multi-class funds or; plus £1,000 per cell for PCCs or; plus £3,300 per cell for ICCS
- ▶ £125 for publication of Formal Notice

For further information, please contact the Carey Commercial team on T: +44 (0)1481 700300 or email us at [cisx@careygroup.gg](mailto:cisx@careygroup.gg)

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